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SUBSIDIARY BODY FOR IMPLEMENTATION

# REPORT OF THE SUBSIDIARY BODY FOR IMPLEMENTATION ON ITS TENTH SESSION, BONN, 31 MAY - 11 JUNE 1999

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## I. OPENING OF THE SESSION
(Agenda item 1)

1. The tenth session of the Subsidiary Body for Implementation (SBI) was held at the Hotel Maritim, Bonn, from 31 May to 11 June 1999.

2. At the 1st meeting, on 31 May 1999, the Chairman of the SBI, Mr. Bakary Kanté (Senegal), opened the session and welcomed all delegates and observers. He recalled that the current session was the first session of the SBI to be held since the fourth session of the Conference of the Parties (COP), at which the Buenos Aires Plan of Action (decision 1/CP.4)[1] was adopted. He recalled the series of work programmes in the Plan of Action for ensuring the further implementation of the Convention, and for laying the groundwork for the future implementation of the Kyoto Protocol. The Chairman stressed the need for the SBI to be flexible and called for the cooperation of all delegations, in order for detailed and productive discussions to take place on all the issues contained in the agenda. He also urged all Parties to aim at agreeing on decisions to be recommended to the COP at its fifth session in the spirit of moving the intergovernmental process forward. In conclusion, he extended a special welcome to the officers of the SBI Bureau: Mr. Mohammad Reza Salamat (Iran), Vice-Chairman, and Mr. Klaus Radunsky (Austria), Rapporteur. He expressed his wish for a successful outcome of the session.

3. At the 1st meeting of the tenth session of the Subsidiary Body for Scientific and Technological Advice (SBSTA), on 31 May, a statement was made by the Executive Secretary, addressed to both the SBI and the SBSTA (for a summary, see FCCC/SBSTA/1999/6, para. 3).

4. At the joint meeting of the SBSTA and the SBI, held on 1 June, general statements were made by representatives of five Parties, including one speaking on behalf of the African Group, one speaking on behalf of the Alliance of Small Island States, one speaking on behalf of the European Community and its member States and one speaking on behalf of the Group of 77 and China.

## II. ORGANIZATIONAL MATTERS
(Agenda item 2)

### A. Adoption of the agenda
(Agenda item 2 (a))

5. At its 1st meeting, on 31 May, the SBI considered the provisional agenda contained in document FCCC/SBI/1999/1.

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[1] For the full texts of decisions adopted by the Conference of the Parties at its fourth session, see document FCCC/CP/1998/16/Add.1.

6. The Chairman noted that, following consultations with the Bureau, the provisional agenda did not include items on the second review of the adequacy of Article 4.2(a) and (b) and the review of information and possible decisions under Article 4.2(f) (matter of Turkey requesting to have its name deleted from Annexes I and II of the Convention). The Chairman informed the SBI that the President of COP 4 or her nominee would conduct informal consultations on the last-mentioned matter during the tenth sessions of the subsidiary bodies, in order to advance the discussions at COP 5, and that both items were included in the current list of elements for the provisional agenda of COP 5, as contained in document FCCC/SBI/1999/2, annex I. He also informed Parties that they could undertake informal consultations on these matters during the current session in preparation for discussions at the eleventh session.

7. The Chairman, having consulted with the Chairman of the SBSTA, proposed that the report of the secretariat on the feasibility of data comparisons ( FCCC/TP/1999/2) be discussed first in the SBSTA, as it was relevant to the latter's discussions under its provisional agenda item 4(b) on the review process related to greenhouse gas inventories. The SBI agreed to consider the issue upon referral from the SBSTA.

8. The Chairman also informed Parties of the proposal by Kazakhstan to amend Annex I to the Convention, a proposal that had been communicated to Parties by the Executive Secretary. The SBI noted that, in accordance with Article 15, paragraph 2, this proposal would be put on the provisional agenda for COP 5.

9. Statements regarding the provisional agenda were made by representatives of five Parties, including one speaking on behalf of the Group of 77 and China. The SBI agreed to amend provisional agenda item 3 by including a new sub-item (d), to read "Matters referred by the Subsidiary Body for Scientific and Technological Advice". On the proposal of the Chairman, it also agreed to amend sub-items 4(b) and (c) to read, "Input from Parties to the Global Environment Facility review of enabling activities", and "Matters related to the consideration of non-Annex I Party communications", respectively.

10. The SBI adopted the following agenda:

1. Opening of the session.

2. Organizational matters:

   (a) Adoption of the agenda;
   (b) Organization of the work of the session.

3. National communications from Parties included in Annex I to the Convention:

   (a) Annual inventories of national greenhouse gas data for 1996;
   (b) Reporting on technology transfer and financial assistance;

(c) Future review process, including that under Articles 7 and 8 of the Kyoto Protocol;
(d) Matters referred by the Subsidiary Body for Scientific and Technological Advice.

4. National communications from Parties not included in Annex I to the Convention:

(a) Provision of financial and technical support;
(b) Input from Parties to the Global Environment Facility review of enabling activities;
(c) Matters related to the consideration of non-Annex I Party communications;
(d) Timing of second national communications.

5. Implementation of Article 4, paragraphs 8 and 9, of the Convention (decision 3/CP.3 and Articles 2.3 and 3.14 of the Kyoto Protocol): programme of work.

6. Procedures and mechanisms related to compliance under the Kyoto Protocol: organizational matters related to the joint working group.

7. Activities implemented jointly under the pilot phase.

8. Mechanisms pursuant to Articles 6, 12 and 17 of the Kyoto Protocol.

9. Arrangements for intergovernmental meetings:

(a) Improving the intergovernmental process;
(b) Conference of the Parties at its fifth session;
(c) Conference of the Parties at its sixth session;
(d) Calendar of meetings.

10. Administrative and financial matters:

(a) Programme budget for the biennium 2000-2001;
(b) Income and budget performance in the biennium 1998-1999;
(c) Arrangements for administrative support to the Convention;
(d) Institutional linkage of the Convention secretariat to the United Nations.

11. Other matters.

12. Report on the session.

### B. Organization of the work of the session
(Agenda item 2 (b))

11. The SBI considered this sub-item at its 1st meeting, on 31 May. It had before it document FCCC/SBI/1999/1.

12. The Chairman drew attention to the tentative schedule of meetings contained in document FCCC/SBI/1999/1, annex II, noting that it should be used as a guide, and might be modified as necessary, to reflect the pace of progress.

13. The secretariat informed the SBI that applications for accreditation to the sessions of the subsidiary bodies had recently been received from one intergovernmental organization and 12 non-governmental organizations. These applications had been screened by the secretariat on the basis of the provisions of Article 7.6 of the Convention and in accordance with the procedures established by the COP for the admission of organizations as observers. The SBI agreed to grant these organizations access to the current session of the SBI, pending formal action on their accreditation by the COP at its fifth session.

## III. NATIONAL COMMUNICATIONS FROM PARTIES INCLUDED IN ANNEX I TO THE CONVENTION
(Agenda item 3)

### A. Annual inventories of national greenhouse gas data for 1996
(Agenda item 3 (a))

#### 1. Proceedings

14. The SBI considered this sub-item at its 5th and 8th meetings, on 2 and 10 June, respectively. It had before it document FCCC/SBI/1999/5 and Add.1.

15. Statements were made by representatives of two Parties, including one speaking on behalf of the European Community and its member States.

#### 2. Conclusions

16 At its 8th meeting, on 10 June, having considered a proposal by the Chairman, the SBI adopted the following conclusions:

(a) The SBI noted, with respect to the reporting of greenhouse gas inventory data by Annex I Parties for 1990 to 1996, that further efforts are required to ensure adherence to the guidelines for the preparation of national communications by Parties included in Annex I to the Convention (Annex I Parties), in particular, to ensure completeness, consistency and comparability of data. In particular, further efforts are required in the provision of data on

land-use change and forestry, and on emissions of hydrofluorocarbons (HFCs), perfluorocarbons (PFCs) and sulphur hexafluoride ($SF_6$). The SBI recognized that these reporting issues are being considered by the SBSTA in its ongoing discussions related to the revision of guidelines for the preparation of national communications by Annex I Parties to the Convention;

(b) The SBI noted that only 25 Annex I Parties had submitted emissions inventory data for 1990 to 1996 to the secretariat, which were due on 15 April 1998, and as of 1 June 1999, only 16 Annex I Parties had submitted their national greenhouse gas inventories, which were due on 15 April 1999. The SBI urged Annex I Parties that had not already done so, to submit their annual national greenhouse gas inventories as soon as possible. The SBI invited Parties experiencing difficulties with submitting greenhouse gas inventories in a timely manner to provide a submission to the secretariat by 1 August 1999 describing the nature of these difficulties ;

(c) The SBI requested Parties to provide their submissions in electronic form so as to facilitate the processing and dissemination by the secretariat of this information;

(d) The SBI noted, with respect to the implementation of the Convention by Annex I Parties, that the majority of Parties that have reported data for 1990 to 1996, as described in document FCCC/SBI/1999/5, exhibit increasing aggregate greenhouse gas emissions; and according to the available information, many Annex I Parties will not return greenhouse gas emissions to 1990 levels by the year 2000; and

(e) The SBI also noted the efforts of the secretariat to make available Parties' greenhouse gas inventory data on the Web site of the secretariat, and encouraged interested Parties to provide feedback to the secretariat on possible improvements to the presentation of inventory data.

## B. Reporting on technology transfer and financial assistance

(Agenda item 3 (b))

### 1. Proceedings

17. The SBI considered this sub-item at its 5th and 8th meetings, on 2 and 10 June, respectively. It had before it the following documents: FCCC/SB/1999/1 and Add.2 and FCCC/SB/1999/MISC.2.

18. Statements were made by representatives of four Parties, including one speaking on behalf of the European Community and its member States.

19. At the 5th meeting, on 2 June, the SBI agreed to the Chairman's proposal that this sub-item should be considered further in the joint contact group established by the SBSTA under its agenda sub-item 4 (a) on guidelines for the preparation of national communications by Annex I Parties.

2. Conclusions

20. Conclusions on this sub-item were taken up under sub-item 3 (d), matters referred by the SBSTA (see paragraph 27 below).

## C. Future review process, including that under Articles 7 and 8 of the Kyoto Protocol
(Agenda item 3 (c))

1. Proceedings

21. The SBI considered this sub-item at its 5th and 8th meetings, on 2 and 10 June, respectively. It had before it the following documents: FCCC/SBI/1999/6 and FCCC/SBI/1999/INF.2.

22. Statements were made by representatives of two Parties, including one speaking on behalf of the European Community and its member States.

2. Conclusions

23. At its 8th meeting, on 10 June, having considered a proposal by the Chairman, the SBI adopted the following conclusions:

(a) The SBI took note of document FCCC/SBI/1999/6. It recognized that the work on the review process under the Convention, including the technical review process for greenhouse gas inventories, is distinct but related to the work on the review of implementation under Article 8 of the Kyoto Protocol. It generally endorsed the suggested approaches in document FCCC/SBI/1999/6 for these future review processes, noting that some aspects of that document need to be discussed further. It concluded that consideration of issues related to interim reporting should be postponed until matters relating to reporting and review under the Kyoto Protocol have been resolved;

(b) The SBI took note of the information contained in document FCCC/SBI/1999/INF.2 and welcomed the fact that 27 in-depth review visits have taken place so far. It expressed concern that only seven in-depth review reports could be published before the tenth session of the SBI; and

(c) The SBI requested the secretariat to prepare a report on experience with the review of second national communications, including suggestions for avoiding delays in the publication of reports in the future, for consideration at its twelfth session.

## D. Matters referred by the Subsidiary Body for Scientific and Technological Advice
(Agenda item 3 (d))

### 1. Proceedings

24. The SBI considered this sub-item at its 8th meeting, on 10 June. It had before it the following documents, containing conclusions of the SBSTA forwarded to the SBI for its consideration: FCCC/SB/1999/2, FCCC/SBI/1999/L.2, FCCC/SBI/1999/L.3 and FCCC/SBI/1999/L.6.

25. A statement was made by the representative of a Party.

### 2. Conclusions

26. At its 8th meeting, on 10 June, having considered the conclusions of the SBSTA relating to guidelines for the preparation of national communications by Annex I Parties and a proposal by the Chairman, the SBI adopted the following conclusions:

(a) The SBI noted the conclusions of the SBSTA[2] on the revised guidelines for the preparation of national communications by Parties included in Annex I to the Convention, part I: UNFCCC reporting guidelines on annual inventories;

(b) The SBI agreed to recommend, jointly with the SBSTA, a draft decision on the revised guidelines for adoption by the COP at its fifth session;[3]

(c) The SBI, following the advice of the SBSTA, agreed to set up a two-year trial period beginning in early 2000, to assess the UNFCCC reporting guidelines on annual inventories, particularly the common reporting format, with a view to revising them at COP 7, taking into consideration, *inter alia*, experience gained by Parties and the secretariat, and the input of the IPCC; and

(d) The SBI noted that the SBSTA had begun, but not concluded, its work on revision of the guidelines for the preparation of national communications by Parties included in Annex I to the Convention, part II: UNFCCC reporting guidelines on projections, policies and measures,

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[2] See FCCC/SBSTA/1999/6, paragraph 27.

[3] See FCCC/SBSTA/1999/6/Add.1, which contains the draft decision and the UNFCCC reporting guidelines on inventories (annex I), as well as a common reporting format (annex II), which is an integral part of these guidelines. (The common reporting format has been revised based on the technical corrections referred to in document FCCC/SBSTA/1999/6, paragraph 27 (e).)

financial resources and transfer of technology, and other matters.[4] It further noted that the SBSTA, upon completion of its work on part II of the guidelines, would forward to the SBI, at its eleventh session, the recommended revisions to part II of the guidelines for its consideration, with the aim of submitting revised guidelines for adoption by the COP at its fifth session.

27. At the same meeting, on 10 June, having considered the conclusions of the SBSTA on a review process related to greenhouse gas inventories (GHG) from Annex I Parties and a proposal by the Chairman, the SBI adopted the following conclusions:

(a) The SBI took note of the conclusions of the SBSTA[5] relating to the technical review process for greenhouse gas inventories of Annex I Parties;

(b) The SBI agreed on the preliminary elements of a draft decision for consideration by the COP at its fifth session (see annex I);

(c) The SBI decided to consider draft guidelines for technical reviews of GHG inventories at its eleventh session. It requested the secretariat to prepare draft guidelines for technical reviews on the basis of document FCCC/SBSTA/1999/3 and the conclusions of the SBSTA at its tenth session;

(d) The SBI invited Parties to submit their views on the draft guidelines to the secretariat by 1 October 1999;

(e) The SBI requested the secretariat to develop a work plan for the technical review process, including operational arrangements, for the period 2000 to 2002, for consideration by the SBI at its eleventh session; and

(f) The SBI requested the secretariat to include in the draft work plan for technical reviews of GHG inventories different approaches for individual reviews, including sending inventory material to experts, expert meetings in a single location and in-country visits of experts, or combinations of each, in order to assess these approaches.

28. At the same meeting, on 10 June, having considered the conclusions of the SBSTA concerning a work programme on methodological issues related to Articles 5, 7 and 8 of the Kyoto Protocol and a proposal by the Chairman, the SBI adopted the following conclusions:

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[4] This part, including its title, is subject to further discussion at the eleventh sessions of the subsidiary bodies.

[5] See FCCC/SBSTA/1999/6, paragraph 30.

(a) The SBI took note of the conclusions adopted by the SBSTA[6] endorsing the work programme on methodological issues related to Articles 5, 7 and 8 of the Kyoto Protocol, contained in document FCCC/SB/1999/2. It endorsed the conclusions adopted by the SBSTA, particularly those elements of the work programme relating to Articles 7 and 8, in accordance with the division of labour specified in decision 8/CP.4.

## IV. NATIONAL COMMUNICATIONS FROM PARTIES NOT INCLUDED IN ANNEX I TO THE CONVENTION

(Agenda item 4)

### A. Provision of financial and technical support

(Agenda item 4 (a))

#### 1. Proceedings

29. The SBI considered this sub-item at its 1st, 3rd and 8th meetings, on 31 May, and 1 and 10 June, respectively. It had before it the following documents: FCCC/SBI/1999/INF.1, FCCC/SBI/1999/INF.3, and FCCC/SBI/1999/INF.4.

30. Statements were made by representatives of 19 Parties, including one speaking on behalf of the Alliance of Small Island States, one speaking on behalf of the European Community and its member States and one speaking on behalf of the Group of 77 and China.

31. At its 3rd meeting, on 1 June, the SBI agreed that this sub-item should be taken up in informal contact group discussions in conjunction with sub-items 4 (c) and (d) of the agenda. The informal contact group was co-chaired by Mr. Paul Maclons (South Africa) and Mr. Daniel Reifsnyder (United States of America).

#### 2. Conclusions

32. At its 8th meeting, on 10 June, the SBI, having considered a proposal by the Chairman, adopted the following conclusions:

(a) The SBI noted with appreciation the information contained in document FCCC/SBI/1999/INF.3 regarding the activities undertaken by the secretariat to facilitate the provision of financial and technical support as well as the information regarding the status of preparation of communications from Parties not included in Annex I to the Convention;

(b) The SBI took note of views expressed by Parties and information contained in document FCCC/SBI/1999/INF.1. It requested the secretariat, in preparing its report on

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[6] See FCCC/SBSTA/1999/6, paragraph 34.

"Information on relevant actions by the Global Environment Facility", to request that, in accordance with decision 10/CP.2,[7] the Global Environment Facility (GEF) secretariat make available the dates of disbursement of funds for enabling activity projects for the preparation of initial national communications by non-Annex I Parties and agreed to continue consideration of this matter at the eleventh session of the SBI;

(c) The SBI noted the information contained in document FCCC/SBI/1999/INF.4 and views expressed by Parties during the discussions and recommended that the "List of projects submitted by Parties not included in Annex I to the Convention in accordance with Article 12.4 of the Convention" contained in that document should be brought to the attention of the GEF and, as appropriate, other bilateral and multilateral financing institutions through the established channels for such assistance;

(d) The SBI took note of the draft decisions proposed by the Group of 77 and China and the European Community and its member States contained in document FCCC/SBI/1999/MISC.3 and views expressed by Parties during the discussions and invited Parties to submit to the secretariat further views and comments, if any, on this matter by 15 July 1999. It requested the secretariat to compile and make available such views and comments at the eleventh session of the SBI;

(e) The SBI agreed that the co-Chairs of the informal contact group would prepare a document providing a framework for the elements of the draft decisions submitted by the Group of 77 and China and the European Community and its member States to be considered by the SBI at its eleventh session; and

(f) The SBI decided to continue its consideration of this sub-item at its eleventh session, by requesting the informal contact group to continue its discussions, with a view to recommending a draft decision for adoption by the COP at its fifth session.

## B. Input from Parties to the Global Environment Facility review of enabling activities
(Agenda item 4 (b))

### 1. Proceedings

33. The SBI considered this sub-item at its 1st and 8th meetings, on 31 May and 10 June. It had before it document FCCC/SBI/1999/MISC.2.

34. Statements were made by representatives of five Parties, including one speaking on behalf of the European Community and its member States and one speaking on behalf of the Group of 77 and China.

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[7] For the full texts of decisions adopted by the Conference of the Parties at its second session, see document FCCC/CP/1996/15/Add.1.

2. Conclusions

35. At its 8th meeting, on 10 June, the SBI, having considered a proposal by the Chairman, adopted the following conclusions:

(a) Views submitted by Parties with regard to the GEF review of enabling activities (FCCC/SBI/1999/MISC. 2), statements made by Parties during the discussion of this agenda item at the current session, information included in the initial national communications from non-Annex I Parties, further views to be submitted by Parties, any other guidance the Parties may give to the secretariat, as well as all relevant decisions of the COP, will provide the basis for the secretariat to prepare a report, as requested in decision 12/CP.4, paragraph 7 (e), on inputs from Parties to the GEF review of enabling activities, to be submitted for consideration by the SBI at its eleventh session. Submissions from Parties should reach the secretariat by 15 July 1999;

(b) In its annual report to the COP, in accordance with decision 12/CP.2, the GEF should include information on any progress made or results obtained from the GEF review of enabling activities as well as on how the views and concerns expressed by Parties will be taken into account by the GEF in that review; and

(c) In the framework of the Convention, the scope of enabling activities covers a number of other activities which facilitate the implementation of Articles 4.1 and 12.1 of the Convention. The secretariat will prepare a report containing information included in initial national communications from non-Annex I Parties, regarding efforts to assist developing country Parties in the implementation of enabling activities, and submit it to the SBI for its consideration at its thirteenth session.

## C. Matters related to the consideration of non-Annex I Party communications
## D. Timing of second national communications

(Agenda item 4 (c) and (d))

1. Proceedings

36. The SBI considered these sub-items at its 1st and 8th meetings, on 31 May and 10 June, respectively. It had before it document FCCC/SBI/1999/MISC.1.

37. Statements were made by representatives of six Parties, including one speaking on behalf of the European Community and its member States and one speaking on behalf of the Group of 77 and China.

38. At its 3rd meeting, on 1 June, the SBI agreed that these sub-items should be taken up in informal contact group discussions in conjunction with sub-item 4 (a) of the agenda. The informal contact group was co-chaired by Mr. Paul Maclons (South Africa) and Mr. Daniel Reifsnyder (United States of America).

2. Conclusions

39. At its 8th meeting, on 10 June, the SBI, having considered a proposal by the Chairman, adopted the following conclusions:

(a) The SBI took note of views expressed by Parties and information contained in document FCCC/SBI/1999/MISC.1 on consideration of national communications and timing of second national communications;

(b) The SBI agreed that the co-Chairs of the informal contact group would prepare a document providing a framework for elements of the draft decisions of the Group of 77 and China and the European Community and its member States contained in document FCCC/SBI/1999/MISC.3, to be considered by the SBI at its eleventh session;

(c) The SBI decided to continue its consideration of these sub-items at its eleventh session, by requesting the informal contact group to continue its discussions, with a view to recommending a draft decision for adoption by the COP at its fifth session; and

(d) The SBI took note of the draft decisions proposed by the Group of 77 and China and the European Community and its member States contained in document FCCC/SBI/1999/MISC.3 and views expressed by Parties during the discussions, and invited Parties to submit to the secretariat further views and comments, if any, on these matters by 15 July 1999. It requested the secretariat to compile and make available such views and comments at the eleventh session of the SBI.

## V. IMPLEMENTATION OF ARTICLE 4, PARAGRAPHS 8 AND 9, OF THE CONVENTION (DECISION 3/CP.3 AND ARTICLES 2.3 AND 3.14 OF THE KYOTO PROTOCOL): PROGRAMME OF WORK

(Agenda item 5)

1. Proceedings

40. The SBI considered this item at its 1st meeting, on 31 May. It had before it document FCCC/SB/1999/MISC.6.

41. The SBI agreed that a group of friends of the Chairmen of the SBI and the SBSTA be convened to develop terms of reference for the expert workshop envisaged in the annex (programme of work) to decision 5/CP.4. These consultations would be conducted by Mr. Mohammad Reza Salamat (Iran), Vice-Chairman of the SBI, on behalf of the Chairmen. Mr. Salamat would report on the outcome of these consultations to the SBSTA under its agenda item 9.

2. Conclusions

42. The SBI agreed that the terms of reference for the expert workshop should reflect the elements identified in decision 5/CP.4.[8]

43. In accordance with that conclusion, the SBI would consider the report of the expert workshop at its eleventh session.

## VI. PROCEDURES AND MECHANISMS RELATING TO COMPLIANCE UNDER THE KYOTO PROTOCOL: ORGANIZATIONAL MATTERS RELATED TO THE JOINT WORKING GROUP

(Agenda item 6)

1. Proceedings

44. This item was considered by the SBI at its 2nd and 9th meetings, which were held jointly with the SBSTA, on 1 and 11 June, respectively. The subsidiary bodies had before them document FCCC/SB/1999/MISC.4 and Add.1-3.

45. At the joint meeting held on 1 June, the Chairman of the SBI reported on the informal consultations on matters related to compliance which had been convened by the subsidiary body Chairmen on 31 May in response to a request contained in decision 8/CP.4. The Chairman noted that agreement had been reached to designate Mr. Harald Dovland (Norway) and Mr. Espen Ronneberg (Marshall Islands) as the co-Chairs of the joint working group on compliance established by decision 8/CP.4. Substantive discussion would be referred to the joint working group, which would be convened by the designated co-Chairs.

46. At the 9th meeting, held jointly with the SBSTA on 11 June, Mr. Harald Dovland presented a report on the work of the joint working group on compliance, on behalf of the co-Chairs (FCCC/SB/1999/CRP.3/Rev.1).

2. Conclusions

47. At its 9th meeting, held jointly with the SBSTA on 11 June, the SBI agreed, jointly with the SBSTA, that the report of the joint working group on compliance on its work during the tenth sessions of the subsidiary bodies would be annexed to the present report (see annex II below).

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[8] For the terms of reference of the expert workshop, see document FCCC/SBSTA/1999/6, annex I.

## VII. ACTIVITIES IMPLEMENTED JOINTLY UNDER THE PILOT PHASE
(Agenda item 7)

### 1. Proceedings

48. This item was considered by the SBI at its 2nd, 4th and 9th meetings, which were held jointly with the SBSTA, on 1 and 11 June. The subsidiary bodies had before them the following documents: FCCC/SB/1999/INF.1 and FCCC/SB/1999/MISC.1 and Add.1.

49. Statements were made by representatives of 16 Parties, including one speaking on behalf of the African Group, one speaking on behalf of the Alliance of Small Island States, one speaking on behalf of the European Community and its member States and one speaking on behalf of the Group of 77 and China.

50. At the 9th meeting, on 11 June, a statement was made by a representative of the business and industry constituency.

51. At the 4th meeting, on 1 June, the Chairmen of the subsidiary bodies invited Mr. Jos Delbeke (European Commission) and Ms. Margaret Mukahanana (Zimbabwe) to assist them in conducting informal consultations on this item.

### 2. Conclusions

52. At its 9th meeting, held jointly with the SBSTA on 11 June, having considered a proposal by the Chairmen on the basis of inputs from the informal consultations, the SBI adopted the following conclusions jointly with the SBSTA:

(a) The SBSTA and the SBI took note of the update on activities implemented jointly (AIJ) under the pilot phase and the compilation of submissions by Parties contained in documents FCCC/SB/1999/INF.1 and FCCC/SB/1999/MISC.1 and Add.1;

(b) The SBSTA and the SBI recognized that AIJ under the pilot phase should provide developing country Parties, in particular the least developed and small island developing States amongst them, as well as Parties with economies in transition, with the opportunity to enhance their capacity-building, and all Parties with the opportunity to gain experience with AIJ;

(c) The SBSTA and the SBI agreed that the review of the pilot phase referred to in decision 5/CP.1, paragraph 3 (b)[9] and decision 6/CP.4 must address, *inter alia*, the following issues:

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[9] For the full texts of decisions adopted by the Conference of the Parties at its first session, see document FCCC/CP/1995/7/Add.1.

(i) Geographical distribution of projects, particularly the lack of projects in Africa, and the analysis of contributing factors;

(ii) Contribution of projects to capacity-building and institution-strengthening needs of Parties, particularly for host country Parties;

(iii) Contribution to the host country's sustainable development needs, priorities and strategies;

(iv) Assessment of environmental benefits related to the mitigation of climate change that would not have occurred in the absence of AIJ, covering all relevant sources, sinks and reservoirs of greenhouse gases and the methods used to measure, monitor and independently verify these emissions, including by type of project, and other environmental benefits achieved;

(v) Contribution of projects and related activities to the transfer of environmentally sound technologies to the host country;

(vi) Identification of factors that might increase the number of projects implemented under AIJ, taking into account the provisions of decision 5/CP.1, paragraph 1;

(vii) Assessment of the uniform reporting format and elaboration of options for its improvement, including a list of standardized terminology and common definitions for key terms, *inter alia*, related to costs, baselines, monitoring, reporting and verification;

(viii) Consideration of costs, including costs of greenhouse gas reductions and transaction costs, and examination of related methodologies;

(ix) Evaluation of how standardized methodologies for project review and approval, by both the host and sponsoring Parties, may enhance overall transparency and reduce transaction costs; and

(x) Identification of further work required with respect to baselines, project monitoring, reporting and verification procedures.

(d) The SBSTA and the SBI requested Parties to submit additional views and information, structured in accordance with the issues mentioned in subparagraph (c) above, by 7 July 1999;

(e) The SBSTA and the SBI further requested the secretariat to prepare a report on the issues identified in subparagraph (c) above, in time for consideration by Parties at the

eleventh sessions of the subsidiary bodies, on the basis of submissions from Parties in the context of the reporting framework for the AIJ pilot phase using the uniform reporting format, and additional information submitted by Parties;

(f) The SBSTA and the SBI invited Parties wishing to engage in AIJ under the pilot phase but which have not yet had the opportunity to do so, to take full advantage of the opportunities to "learn by doing"; and

(g) The SBSTA and the SBI decided to undertake a comprehensive review of the pilot phase of AIJ, based on information referred to in subparagraph (e) above, at their eleventh sessions, with a view to preparing a recommendation to COP 5 on further steps.

## VIII. MECHANISMS PURSUANT TO ARTICLES 6, 12 AND 17 OF THE KYOTO PROTOCOL

(Agenda item 8)

### 1. Proceedings

53. This item was considered by the SBI at its 2nd, 4th and 9th meetings, which were held jointly with the SBSTA, on 1 and 11 June. The subsidiary bodies had before them the following documents: FCCC/SB/1999/4, FCCC/SB/1999/5, FCCC/SB/1999/INF.2 and Add.1-3, and FCCC/SB/1999/MISC.3 and Add.1, Add. 2 and Corr.1 and Add. 3-6.

54. Statements were made by representatives of 21 Parties, including one speaking on behalf of the Alliance of Small Island States, one speaking on behalf of the European Community and its member States and 10 Central and Eastern European States, and one speaking on behalf of the Group of 77 and China.

55. At the 2nd meeting, on 1 June, a representative of the business and industry constituency provided a report on the "Dakar workshop II to enhance the capacity of African negotiators towards the UNFCCC and the Kyoto Protocol process" (Dakar, 6-9 May 1999).

56. At the 4th meeting, on 1 June, the subsidiary bodies decided to consider this item by way of a joint contact group, under the chairmanship of Mr Kok Kee Chow (Malaysia), the Chairman of the SBSTA.

### 2. Conclusions

57. At its 9th meeting, held jointly with the SBSTA on 11 June, having considered a proposal by the Chairmen on the basis of inputs from the joint contact group, the SBI adopted the following conclusions jointly with the SBSTA:

(a) The SBSTA and the SBI took note of the synthesis of proposals by Parties on principles, modalities, rules and guidelines on mechanisms pursuant to Articles 6, 12 and 17 of the Kyoto Protocol (FCCC/SB/1999/INF.2 and Add.1-3), an initial framework for the plan for facilitating capacity-building under decision 7/CP.4 (FCCC/SB/1999/4), and submissions from Parties contained in documents FCCC/SB/1999/MISC.3 and Add.1, Add. 2 and Corr.1 and Add. 3-6;

(b) The SBSTA and the SBI invited Parties to submit further proposals, by 31 July 1999, on:

(i) Issues raised in decision 7/CP.4, paragraph 1 (a), (b) and (c); and

(ii) Elements to be included in the plan for facilitating capacity-building.

(c) The SBSTA and the SBI requested the secretariat to compile the submissions by Parties in miscellaneous documents;

(d) The SBSTA and the SBI requested the Chairmen to prepare, with the assistance of the secretariat, a revised and consolidated synthesis of proposals, identified by sources, for consideration at the eleventh sessions of the subsidiary bodies. This synthesis of proposals should take into account decision 7/CP.4, views by Parties on the first synthesis of proposals at the tenth sessions of the subsidiary bodies, and submissions under subparagraphs (a) and (b)(i) above;

(e) The SBSTA and the SBI requested the secretariat, based on views by Parties expressed at the tenth sessions of the subsidiary bodies and submissions by Parties, to prepare a revised plan for facilitating capacity-building related to the mechanisms, providing options for coordination and orientation, for consideration at the eleventh sessions of the subsidiary bodies; and

(f) The SBSTA and the SBI invited organizations currently undertaking relevant capacity-building activities to provide information to the secretariat by 31 July 1999.

58. At the same meeting, on 11 June, on adopting the above conclusions, the SBSTA and the SBI noted the following clarifications by the Chairmen:

(a) The Chairmen of the subsidiary bodies will have responsibility for determining which submissions are to be reflected in the synthesis of proposals and which ones will be included in a miscellaneous document only;

(b) In view of the date agreed upon for submissions by Parties and the time needed to compile those submissions, the availability of the respective documents for the eleventh sessions would be delayed; and

(c) Submissions received after the stated deadline would be made available, in a miscellaneous document, by 15 September 1999.

## IX. ARRANGEMENTS FOR INTERGOVERNMENTAL MEETINGS
(Agenda item 9)

### 1. Proceedings

59. The SBI considered this item, including its four sub-items, at its 3rd, 7th and 10th meetings on 1, 7 and 11 June, respectively. It had before it documents FCCC/SBI/1999/2 and FCCC/SBI/1999/L.4.

60. Statements were made by representatives of 24 Parties, including one speaking on behalf of the European Community and its member States and one speaking on behalf of the Group of 77 and China. A number of delegates commented on the arrangements made by the Government of Germany for the issuance of visas to delegates.

61. At its 7th meeting, on 7 June, the Chairman invited Mr. John Ashe (Antigua and Barbuda) to assist him in conducting informal consultations on this item, with the support of the Bureau of the SBI.

## A. Improving the intergovernmental process
(Agenda item 9 (a))

### 2. Conclusion

62. At its 8th meeting, on 10 June, the SBI, having considered a proposal by the Chairman, took note of the proposals by the Executive Secretary contained in document FCCC/SBI/1999/2 to improve the intergovernmental process, including those relating to the issue of transparency, and decided to take the matter up again at its eleventh session.

## B. Conference of the Parties at its fifth session
(Agenda item 9 (b))

### 2. Conclusions

63. At its 10th meeting, on 11 June, the SBI, having considered a proposal by the Chairman, adopted the following conclusions:

(a) The SBI welcomed the nomination of His Excellency Mr. Jan Szyszko, Minister of Environmental Protection, Natural Resources and Forestry of Poland, by the Group of Eastern European States as President designate of COP 5;

(b) The SBI invited the President of COP 4 to continue her informal consultations on the election of the remaining members of the COP 5 Bureau with a view to having the complete list of candidatures ready, if possible, before the opening of the fifth session;

(c) The SBI noted that the Executive Secretary would take into account views expressed by the Parties at the session when preparing the provisional agenda for the fifth session of the COP, in accordance with rule 9 of the draft rules of procedure being applied;

(d) The SBI recommended that the opening of COP 5 should take place on 25 October 1999 to consider organizational matters, to be followed immediately by the sessions of the subsidiary bodies that will recommend decisions and conclusions for adoption by COP 5; and

(e) The SBI further recommended that there should be a high-level segment attended by ministers and other heads of delegation at COP 5 from the afternoon of 2 November 1999 to midday on 4 November 1999. The high-level segment would provide for brief policy statements of three to four minutes' duration on the afternoon of 2 November 1999 that would highlight key points from full texts that would be circulated (on the understanding that all ministers and heads of delegation wishing to make such statements would be accommodated during the afternoon and evening of 2 November 1999). Further, the high-level segment would provide for an exchange of views among ministers and other heads of delegation in plenary meetings in an open and transparent manner from the morning of 3 November 1999 to midday on 4 November 1999. There would be no formal conclusions or recommendations. The exchange of views would be organized around the following themes:

* Progress made in dealing with climate change: lessons and challenges;

* The way forward: promoting implementation of the Buenos Aires Plan of Action and early entry into force of the Kyoto Protocol.

## C. Conference of the Parties at its sixth session
(Agenda item 9 (c))

### 2. Conclusions

64. At its 10th meeting, on 11 June, the SBI, having considered a proposal by the Chairman, adopted the following conclusions:

(a) The SBI welcomed the generous offer of the Kingdom of the Netherlands to host COP 6[10] and decided to recommend to the COP at its fifth session that the offer be accepted; and

(b) The SBI requested the secretariat to start planning for COP 6 with the Netherlands and to draw up the necessary host country agreement, pending confirmation by COP 5.

## D. Calendar of meetings
(Agenda item 9(d))

### 2. Conclusions

65. At its 10th meeting, on 11 June, the SBI, having considered a proposal by the Chairman, adopted the following conclusions:

(a) In the light of the offer by the Netherlands to host COP 6, as well as statements made by delegations, and other developments, during the current session, the SBI invited COP 5 to review the dates for the second sessional period in 2000 and agreed to return to the matter at its eleventh session with a view to recommending, as necessary, revised dates to COP 5 for the relevant sessional periods; and

(b) The SBI decided to recommend the following calendar of meetings for the years 2001 to 2003 for adoption by COP 5:

(i) First sessional period in 2001: 21 May to 1 June 2001;[11]

(ii) Second sessional period in 2001: 29 October to 9 November 2001;[12]

(iii) First sessional period in 2002: 3 to 14 June 2002;

(iv) Second sessional period in 2002: 28 October to 8 November 2002;

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[10] Extract from statement by the Netherlands at the 7th meeting on 7 June 1999: " ... We have at the moment booked conference facilities from the 13th to the 24th of November in the year 2000. Now, we understand from the discussion here last week and previous discussions that there are also Parties here who feel that COP 6 should be held in 2001. Since we feel that it is not our place as host to dictate the dates one way or another we have available both the dates in November 2000 and we would also make facilities available in the spring of 2001, if the COP were to decide that that is more appropriate. So, we are flexible either way...".

[11] Pending a decision by COP 5 on the second sessional period in 2000.

[12] See note 11.

(v) First sessional period in 2003: 2 to 13 June 2003;

(vi) Second sessional period in 2003: 1 to 12 December 2003.

## X. ADMINISTRATIVE AND FINANCIAL MATTERS
(Agenda item 10)

### A. Programme budget for the biennium 2000-2001
### B. Income and budget performance in the biennium 1998-1999
### C. Arrangements for administrative support to the Convention
(Agenda items 10 (a), (b) and (c))

1. Proceedings

66. The SBI considered these sub-items at its 5th, 6th and 10th meetings, on 2, 3 and 11 June, respectively. It had before it documents FCCC/SBI/1999/3, FCCC/SBI/1999/4 and Add.1 and FCCC/SBI/1999/INF.5.

67. Statements were made by representatives of 15 Parties, including one speaking on behalf of the European Community and its member States and one speaking on behalf of the Group of 77 and China.

68. At its 6th meeting, on 3 June, the SBI agreed to a proposal by the Chairman that this item be taken up in informal contact group discussions. The informal contact group was chaired by Mr. Mohamed M. Ould El Ghaouth (Mauritania).

2. Conclusions

69. At its 10th meeting, on 11 June, the SBI, on the recommendation of the Chairman, adopted the following conclusions:

(a) The SBI recommended for adoption by the COP at its fifth session the budget decision included in annex I below, which would require finalization at the Conference with respect to the contingencies indicated therein;

(b) The SBI noted that the recommended decision provided for the expenditure on programme activities of $ 22,300,000 in the biennium 2000-2001, representing an increase of $ 3,638,200 over the comparable figure in the current biennium 1998-1999. It further noted that this increase in the allocation for programme activities was to be partly met by a special drawing of $ 2,000,000 from the unspent balances or contributions from previous financial periods and that the resulting increase in the total indicative contributions due by Parties over those due in the current biennium 1998-1999 would be 10.7 per cent;

(c) The SBI authorized the Executive Secretary to notify the Parties by 1 October 1999 of their contributions to the core budget due by 1 January 2000 on the basis of the budget recommended to the COP and the contingencies included in paragraphs 4 and 5 of the draft decision contained in annex I below and on the basis of the current indicative scale of contributions (see decision 17/CP.4, paragraphs 14-15, and the annex to that decision), revised in accordance with the United Nations scale of assessment for the year 2000;[13]

(d) The SBI took note of the contributions received for the 1998-1999 biennium under the various sources of funding. It expressed concern at the continuing trend toward late payment of contributions to the core budget, some still outstanding from 1996 and 1997, and encouraged all Parties that had not yet paid their contributions to do so without delay. It requested the Executive Secretary to present possible options to respond to the situation of late payment of contributions for consideration at its twelfth session. The SBI underlined the importance of contributions to the Trust Fund for Participation in the UNFCCC Process and the Trust Fund for Supplementary Activities as important complements to the core budget in advancing work under the Convention. It requested the Executive Secretary to report to COP 5 on the financial situation of the Convention, including on the use of the above-mentioned funds;

(e) The SBI took note of the report of the Executive Secretary on the carry-over balance from the 1996-1997 biennium and agreed to return to the matter in 2000, when further experience and data will be available, and to make a recommendation to COP 6. In the interim, the SBI authorized the Executive Secretary, pending approval by the COP, to enter into commitments up to the level of the approved budget, drawing on available cash resources, including unspent balances or contributions from previous financial periods;

(f) The SBI took note of the information provided by the Executive Secretary on the transfer of administrative responsibilities for the Convention from the United Nations to the UNFCCC and requested that the SBI be kept informed of new developments or any problems that emerge; and

(g) The SBI requested the secretariat to incorporate points (d) to (f) above into a draft omnibus decision on administrative and financial matters to be considered by the SBI at its eleventh session and to be recommended to the COP for adoption at its fifth session.

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[13] The indicative scale of contributions will be made available in a separate document.

### D. Institutional linkage of the Convention secretariat to the United Nations
(Agenda item 10 (d))

#### 1. Proceedings

70. The SBI considered this sub-item at its 5th and 10th meetings, on 2 and 11 June, respectively. It had before it document FCCC/SBI/1999/7.

71. Statements were made by representatives of six Parties, including one speaking on behalf of the European Community and its member States and one speaking on behalf of the Group of 77 and China.

#### 2. Conclusions

72. At its 10th meeting, on 11 June, having considered a proposal by the Chairman, the SBI requested the Executive Secretary to inform the Secretary-General of the United Nations that it has decided to recommend to the COP at its fifth session that the institutional linkage of the Convention secretariat to the United Nations be continued (see annex I for the draft decision recommended to COP 5 for adoption).

## XI. OTHER MATTERS
(Agenda item 11)

#### 1. Proceedings

73. The SBI considered this item at its 5th meeting, on 2 June.

#### 2. Conclusions

74. The SBI expressed its appreciation to those Parties that have signed and ratified the Kyoto Protocol or acceded thereto, and urged other signatories to ratify the Protocol as soon as possible so that it might enter into force. The SBI invited Parties that have not signed the Protocol to accede to it, and those that have signed to inform the secretariat of the expected timing of their ratification, and requested the Executive Secretary to submit that information to the forthcoming session of the COP.

## XII. REPORT ON THE SESSION
(Agenda item 12)

75. At its 10th meeting, on 11 June, the SBI considered the draft report on the work of its tenth session (FCCC/SBI/1999/L.1).

76. On adopting the draft report, the SBI noted that the secretariat would assess its capacity to carry out the activities requested in the conclusions adopted at this session in the light of the programme budget for 2000-2001 recommended by the SBI for approval by COP 5 (see paragraph 70 above and the draft decision on the programme budget contained in annex I), and would report back on this matter, as appropriate, to the subsidiary bodies at their eleventh sessions.

77. At the same meeting, on a proposal by the Chairman, the SBI authorized the Rapporteur, with the assistance of the secretariat, to complete the report on the session.

## XIII. CLOSURE OF THE SESSION

78. At the 10th meeting, on 11 June, the Chairman recalled that this was his final meeting both as Chairman of the SBI and as a representative of his country, as he would now be taking up an appointment in the United Nations system. He expressed thanks to all those who had provided him with valuable support during his two-year chairmanship, including Parties, non-governmental organizations and the business community, other United Nations bodies and the secretariat. He called for close collaboration between these groups, and noted that he would persevere in his work to advance the Convention process, including by consulting with the GEF and other United Nations bodies.

79. Statements paying tribute to the Chairman were made by representatives of four Parties, including one speaking on behalf of the African Group, and the Executive Secretary.

80. After thanking delegates for their words of appreciation, the Chairman declared the tenth session closed.

Annex I

## DRAFT DECISIONS RECOMMENDED FOR ADOPTION BY THE CONFERENCE OF THE PARTIES AT ITS FIFTH SESSION

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Draft decision -/CP.5

## 1. Preliminary elements of a draft decision on a technical review process for greenhouse gas inventories of Annex I Parties

*The Conference of the Parties*

1. *Adopts* the guidelines for the technical review process related to greenhouse gas inventories of Annex I Parties contained in annex I to this decision;[1]

2. *Requests* the secretariat to conduct annual initial checks and prepare annual synthesis and assessment reports of greenhouse gas inventories for all Annex I Parties beginning in 2000, according to the above-mentioned guidelines for the technical review process;

3. *Decides* to initiate individual reviews of inventories coordinated by the secretariat for a limited number of Annex I Parties during the period 2000 to 2002, according to the above-mentioned guidelines for the technical review process;

4. *Requests* the secretariat to coordinate the inventory reviews in accordance with the operational arrangements identified in the work plan;

5. *Requests* the subsidiary bodies to evaluate, after 2002, the experience gained with the review process conducted in accordance with paragraphs 2 and 3 above, with a view to adopting revised guidelines and/or operational arrangements for the technical review of inventories;

6. *Invites* Annex I Parties which are in a position to do so, to subject their inventories to an individual technical review in the period 2000 to 2002;

7. *Decides* to initiate individual reviews of inventories for all Annex I Parties in 2003; and

8. *Invites* Parties to nominate inventory experts with expertise in relevant sectors by 15 April 2000.

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[1] See paragraph 27 (c) above.

Draft decision -/CP.5

## 2. Programme budget for the biennium 2000-2001

*The Conference of the Parties*,

*Recalling* paragraph 4 of the financial procedures for the Conference of the Parties,

*Having considered* the proposed budget for the biennium 2000-2001 submitted by the Executive Secretary,[2]

*Noting* the annual contribution of the host Government, DM 1.5 million, which offsets planned expenditures,

1. *Approves* the programme budget for the biennium 2000-2001, amounting to US$ 25,286,000, for the purposes specified in table 1 below;

2. *Approves* a drawing of $ 2 million from the unspent balances or contributions (carry-over) from previous financial periods to cover part of the 2000-2001 budget;

3. *Approves* the staffing table for the programme budget, as contained in table 2 below;

4. *Approves* a contingency budget for conference servicing, amounting to $ 5,661,800, to be added to the programme budget for the coming biennium in the event that the General Assembly of the United Nations decides not to provide resources for these activities in the regular United Nations budget for the biennium 2000-2001 (see tables 3 and 4 below);

5. [*Approves* a contingency budget for matters related to the consideration of national communications from non-Annex I Parties, amounting to $ 1,527,800, to be added to the programme budget for the coming biennium in the event that the Conference of the Parties decides to request that work in this area be launched (see tables 5 and 6 below);]

6. *Requests* the Executive Secretary to report to the Subsidiary Body for Implementation at subsequent sessions on the implementation of paragraph[s] 4 [and 5] above, as necessary;

7. *Authorizes* the Executive Secretary to make transfers between each of the main appropriation lines set out in table 1 below, up to an aggregate limit of 15 per cent of total estimated expenditure for those appropriation lines, provided that a further limitation of up to minus 25 per cent of each such appropriation line shall apply;

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[2] Contained in document FCCC/SBI/1999/4 and Add.1, the latter to be revised and made available in a separate document for the COP.

8. *Decides* to maintain the level of the working capital reserve at 8.3 per cent of the estimated expenditure;

9. *Invites* all Parties to the Convention to note that contributions to the core budget are due on 1 January of each year in accordance with paragraph 8 (b) of the financial procedures and to pay promptly and in full, for each of the years 2000 and 2001, the contributions required to finance expenditures approved under paragraph 1 above, as offset by estimated contributions noted under the third paragraph of the preamble to this decision and under paragraph 2 above, and the contributions which may result from the decisions referred to in paragraphs 4 and 5 above;

10. *Takes note* of the funding estimates for the Trust Fund for Participation in the United Nations Framework Convention on Climate Change Process specified by the Executive Secretary ($ 3,691,800 for the biennium 2000-2001), and invites Parties to make contributions to this fund (see table 7 below);

11. *Takes note* of the funding estimates for the Trust Fund for Supplementary Activities under the United Nations Framework Convention on Climate Change specified by the Executive Secretary ($ 6,178,900 for the biennium 2000-2001), and invites Parties to make contributions to this fund (see table 8 below);

12. *Requests* the Executive Secretary to report to the Conference of the Parties at its sixth session on income and budget performance, and to propose any adjustments that might be needed in the Convention budget for the biennium 2000-2001; and

13. *Authorizes* the Executive Secretary to make a complementary contribution, from available resources, to the Intergovernmental Panel on Climate Change of $ 300,000 during the biennium 2000-2001.

**Table 1. Programme budget for the biennium 2000-2001**
*(thousands of United States dollars)*

| | 2000 | 2001 | Biennium total |
|---|---|---|---|
| **Expenditures** | | | |
| I. Programmes | | | |
| Executive Direction and Management | 749.5 | 773.7 | 1 523.2 |
| Planning, Coordination and Emerging Issues | 1 232.6 | 1 214.8 | 2 447.4 |
| Science and Technology | 2 170.6 | 2 173.6 | 4 344.2 |
| Implementation | 2 591.3 | 2 747.1 | 5 338.4 |
| Information and Outreach[a] | 1 546.5 | 1 643.7 | 3 190.2 |
| Intergovernmental and Conference Support[b] | 2 752.6 | 2 704.0 | 5 456.6 |
| **Subtotal (I)** | **11 043.1** | **11 256.9** | **22 300.0** |
| II. Payments to the United Nations | | | |
| Overhead charge[c] | 1 435.6 | 1 463.4 | 2 899.0 |
| **Subtotal (II)** | **1 435.6** | **1 463.4** | **2 899.0** |
| III. Working capital reserve[d] | 69.2 | 17.7 | 86.9 |
| **Subtotal (III)** | **69.2** | **17.7** | **86.9** |
| **TOTAL BUDGET (I+II+III)** | **12 548.0** | **12 738.0** | **25 286.0** |
| **Income** | | | |
| Contribution from the host Government | 810.8 | 810.8 | 1 621.6 |
| Unspent balances or contributions from previous financial periods (carry-over) | 1 000.0 | 1 000.0 | 2 000.0 |
| **TOTAL INCOME** | **1 810.8** | **1 810.8** | **3 621.6** |
| **INDICATIVE CONTRIBUTIONS** | **10 737.2** | **10 927.2** | **21 664.4** |

[a] Includes resources required to cover the computer needs of all programmes.

[b] Includes resources required to cover a number of secretariat-wide expenditures for non-staff-related items.

[c] Standard 13 per cent applied by the United Nations for administrative support.

[d] In accordance with paragraph 14 of the financial procedures (see decision 15/CP.1). This will bring the level of the working capital reserve to $ 916,600 in 2000 and $ 934,300 in 2001(see paragraphs 17-19 of the financial procedures).

## Table 2. Programme budget staffing table 2000-2001

| | 2000 | 2001 |
|---|---|---|
| **A. Professional category and above** | | |
| Executive Secretary | 1 | 1 |
| D-2 | 3 | 3 |
| D-1 | 4 | 4 |
| P-5 | 9.75 | 10 |
| P-4 | 10 | 10 |
| P-3 | 15 | 16 |
| P-2 | 8.25 | 9 |
| **Subtotal (A)** | **51** | **53** |
| **B. General Service category** | **27.75** | **28** |
| **TOTAL (A+B)** | **78.75** | **81** |

## Table 3. Resource requirements for the conference services contingency

*(thousands of United States dollars)*

| Item of expenditure | 2000 | 2001 | Biennium total |
|---|---|---|---|
| A. Meeting servicing[a] | 987.1 | 1 015.1 | 2 002.2 |
| B. Documentation[b] | 1 326.8 | 1 340.1 | 2 666.9 |
| **Subtotal** | **2 313.9** | **2 355.2** | **4 669.1** |
| C. Overhead charge[c] | 300.8 | 306.2 | 607.0 |
| D. Contingencies and exchange rate fluctuations[d] | 78.4 | 79.8 | 158.2 |
| E. Working capital reserve[e] | 223.5 | 4.0 | 227.5 |
| **TOTAL** | **2 916.6** | **2 745.2** | **5 661.8** |

[a] Includes interpretation and conference assistance.

[b] Includes revision, translation, typing, reproduction and distribution of pre-, in- and post-session documentation (regular and temporary staff, travel, and contractual services).

[c] Standard 13 per cent applied by the United Nations for administrative support.

[d] Calculated at 3 per cent.

[e] In accordance with paragraph 14 of the financial procedures. The 2000 amount has been calculated as 8.3 per cent of the subtotal and the overhead charge; the 2001 amount has been calculated as the amount required to bring the carried-over 2000 reserve to 8.3 per cent of the subtotal and overhead charge for 2001.

**Table 4. Staffing requirements for the conference services contingency**

| | 2000 | 2001 |
|---|---|---|
| **A. Professional category and above** | | |
| P-4 | 1 | 1 |
| **Total Professional category and above** | **1** | **1** |
| **B. Total General Service category** | **5** | **5** |
| **TOTAL (A+B)** | **6** | **6** |

**Table 5. Resource requirements for the contingency for matters related to consideration of communications from non-Annex I Parties**
(thousands of United States dollars)

| Item of expenditure | 2000 | 2001 | Biennium total |
|---|---|---|---|
| A. Staff costs | 457.6 | 466.4 | 924.0 |
| B. Consultants | 24.0 | 24.0 | 48.0 |
| C. Expert groups | 20.0 | 20.0 | 40.0 |
| D. Travel on official business | 33.0 | 33.0 | 66.0 |
| E. Travel of participants | 100.0 | 100.0 | 200.0 |
| F. Logistics for workshops | 10.0 | 10.0 | 20.0 |
| **Subtotal** | **644.6** | **653.4** | **1 298.0** |
| G. Overhead charge[a] | 83.8 | 84.9 | 168.7 |
| H. Working capital reserve[b] | 60.5 | 0.6 | 61.1 |
| **TOTAL** | **788.9** | **738.9** | **1 527.8** |

[a] Standard 13 per cent applied by the United Nations for administrative support.

[b] In accordance with paragraph 14 of the financial procedures. The 2000 amount has been calculated as 8.3 per cent of the subtotal and the overhead charge; the 2001 amount has been calculated as the amount required to bring the carried-over 2000 reserve to 8.3 per cent of the subtotal and overhead charge for 2001.

**Table 6. Staffing requirements for the contingency for matters related to consideration of communications from non-Annex I Parties**

| | 2000 | 2001 |
|---|---|---|
| **A. Professional category and above** | | |
| P-4 | 1 | 1 |
| P-3 | 2 | 2 |
| **Total Professional category and above** | **3** | **3** |
| **B. Total General Service category** | **1** | **1** |
| **TOTAL (A+B)** | **4** | **4** |

**Table 7. Trust Fund for Participation in the UNFCCC Process: Estimated resource requirements**

*(thousands of United States dollars)*

| Item of expenditure | 2000 | 2001 |
|---|---|---|
| A. Support for eligible Parties to participate in one two-week session of the subsidiary bodies | 630.0 | 630.0 |
| B. Support for eligible Parties to participate in one two-week session of the COP and its subsidiary bodies[a] | 855.0 | 855.0 |
| **Subtotal** | **1 485.0** | **1 485.0** |
| Overhead charge[b] | 193.1 | 193.1 |
| Operating cash reserve[c] | 167.8 | 167.8 |
| **TOTAL** | **1 845.9** | **1 845.9** |

[a] Includes funding for a second delegate from least developed countries and small island developing States, in accordance with the practice followed at all meetings of the COP to date.

[b] Standard 13 per cent applied by the United Nations for administrative support.

[c] Calculated at 10 per cent.

**Table 8. Trust Fund for Supplementary Activities: Estimated resource requirements[a]**
(thousands of United States dollars)

| Item of expenditure | 2000 | 2001 |
|---|---|---|
| Estimated resource requirements | 2 500.0 | 2 620.2 |
| Overhead charge[b] | 325.0 | 340.6 |
| Operating cash reserve[c] | 375.0 | 18.0 |
| **TOTAL** | **3 200.0** | **2 978.9** |

[a] Additional information to be included in the revised version of document FCCC/SBI/1999/4/Add.1.

[b] Standard 13 per cent applied by the United Nations for administrative support.

[c] Calculated at 15 per cent.

## Annex to the draft decision

## INDICATIVE SCALE OF CONTRIBUTIONS TO THE CORE BUDGET OF THE UNFCCC: 2000

(To be made available in a separate document)

Draft decision -/CP.5

**3. Institutional linkage of the Convention secretariat to the United Nations**

*The Conference of the Parties,*

*Recalling* its decision 14/CP.1 whereby it decided that the Convention secretariat shall be institutionally linked to the United Nations, while not being fully integrated in the work programme and management structure of any department or programme, and decided further to review the functioning of the institutional linkage of the Convention secretariat to the United Nations, not later than 31 December 1999, in consultation with the Secretary-General, with a view to making such modifications as may be considered desirable by both parties,

*Recalling also* General Assembly resolution 50/115 of 20 December 1995,

*Taking note* of the information provided by the Executive Secretary that the institutional linkage is working satisfactorily and is being adapted to changing circumstances,

*Taking note also* of the expression of intent by the Secretary-General to seek the endorsement of the General Assembly at its fifty-fourth session for continuation of the institutional linkage,

1. *Invites* the United Nations General Assembly to decide at its fifty-fourth session on the issue of meeting the Convention's conference servicing expenses from its regular budget, taking into account the views expressed by Member States;

2. *Decides* that the institutional linkage of the Convention secretariat to the United Nations shall continue, subject to review not later than 2001, in consultation with the Secretary-General, with a view to making such modifications as may be considered desirable by both parties.

Annex II

# REPORT OF THE JOINT WORKING GROUP ON COMPLIANCE ON ITS WORK DURING THE TENTH SESSIONS OF THE SUBSIDIARY BODIES

## I. OPENING OF THE MEETINGS

1. The meetings of the SBSTA/SBI joint working group on compliance (JWG) were presided over by Mr. Espen Ronneberg and Mr. Harald Dovland.

2. The co-Chair of the JWG, Mr. Espen Ronneberg, opened the meetings at the first meeting, on 2 June 1999. In welcoming the participants, he recalled that the Conference of the Parties, by its decision 8/CP.4, had established the JWG with the mandate to develop a comprehensive compliance system. He noted that the group had an important task as the compliance system will ensure the credibility of, and confidence in, the Kyoto Protocol. He believed that, despite the complexity of the task, the group should be able to fulfil its task.

3. The JWG agreed to organize its work in the current and subsequent meetings according to the following agenda, with the understanding that it could be modified, if needed, in the future.

1. Opening of the meetings.

2. Procedures and mechanisms relating to compliance under the Kyoto Protocol:

   (a) Identification of compliance-related elements, including gaps and suitable forums to address them;
   (b) Design of a compliance system;
   (c) Consequences of non-compliance;
   (d) Other elements as identified in decision 8/CP.4 and in the progress of work.

3. Work programme.

4. Report on progress to the Subsidiary Body for Scientific and Technological Advice (SBSTA) and the Subsidiary Body for Implementation (SBI).

5. Other matters.

## II. PROCEDURES AND MECHANISMS RELATING TO COMPLIANCE UNDER THE KYOTO PROTOCOL

(Agenda item 2)

### Proceedings

4. The JWG had an initial exchange of views on procedures and mechanisms relating to compliance under the Kyoto Protocol, which will be further elaborated, taking into account all submissions from Parties.

### Conclusions

5. The JWG discussed compliance-related elements in the Kyoto Protocol in light of substantive rules, procedures for addressing compliance and the consequences of non-compliance. Regarding substantive rules, it was felt that different types of obligations and rules need to be taken into account in designing a comprehensive compliance system. With respect to procedures, it identified Articles 8, 16, 18 and 19 of the Kyoto Protocol as providing elements for the procedures to address compliance issues, but additional procedures may be needed. It noted that further work is needed to design the consequences of non-compliance.

6. The JWG noted that its work is closely linked to that on Articles 5, 7 and 8, as well as the mechanisms in Articles 6, 12 and 17 of the Kyoto Protocol. The JWG needs to follow the development of this work and exchange information with the processes currently ongoing on those issues. The co-Chairs of the JWG could provide information on its work at the joint meeting of the SBI and SBSTA, and address some common issues.

7. The JWG considered that the objectives of a compliance system, consistent with the objectives of the Kyoto Protocol, could be to facilitate compliance, prevent non-compliance or disputes from arising, and promote compliance through cooperative means, such as providing advice to Parties. It was indicated that facilitative and enforcement measures could be applied in a balanced way. Views were expressed that the objective of a compliance system could be in line with the objective of the Convention and could as a first priority aim at ensuring that the emission reduction commitments are met by Annex B Parties. Views were also expressed about the principle of common but differentiated responsibility as an element in the design and implementation of a compliance system under the Kyoto Protocol.

8. The characteristics of a compliance system were discussed. The range of views expressed included that the system could be strong, coherent, unified, comprehensive, fair and equitable, efficient, credible and transparent; be based on reasonable certainty and due process; and respond to different types of obligations. It was also pointed out that domestic compliance systems could be an efficient means of preventing non-compliance from arising at the international level.

9. With regard to institutional issues in the design of a compliance procedure under the Kyoto Protocol, a number of elements were mentioned, including:

(a) Coverage and application;
(b) How this procedure would be triggered;
(c) Whether an ad hoc or standing body should be established;
(d) Function and mandate;
(e) Composition and expertise.

10. Different views were expressed as to whether the procedure could operate through one body or more than one body. Concerns were raised about combining the functions of facilitation and adjudication in a single body. It was mentioned, for example, that the multilateral consultative process under the Convention, modified if necessary, could be applied to the Kyoto Protocol. Questions were raised concerning the conclusions of the body or bodies that may be established under the procedure, for example, whether such conclusions would be final or whether appeals would be allowed, and the relationship between a body set up under this procedure and the other bodies of the Kyoto Protocol.

11. The consequences of non-compliance were also addressed. It was pointed out that incentives and sanctions could be imposed in a graduated manner, proportionate to the nature of the obligation and seriousness of the breach, taking into account the cause, type, degree and frequency of non-compliance. It was mentioned that financial penalties resulting from non-compliance could be made available to meet costs of adaption. Views were also expressed that a degree of automatic linkage between certain types of non-compliance and binding consequences may need to be established.

## III. WORK PROGRAMME
(Agenda item 3)

Conclusions

12. The JWG, having considered a proposal by its co-Chairs, adopted the following work programme:

(a) The work of the JWG during the eleventh sessions of the subsidiary bodies will be organized according to the agenda contained in paragraph 3 of this document, on the understanding that additional items, if needed, could be taken up at any time in the future;

(b) The JWG invited Parties to make submissions to the secretariat in response to questions related to a compliance system contained in annex I to this document, by 1 August 1999, to be made available by the secretariat in a miscellaneous document. Parties may also wish to address additional issues raised in decision 8/CP.4 to the extent that those issues are not otherwise covered in their previous submissions;

(c) The JWG requested the co-Chairs, supported by the secretariat, to produce a synthesis of all proposals by Parties to update the informal paper prepared by the secretariat for the consultation on 31 May 1999 and include elements related to a compliance system under the Protocol, for consideration by the JWG at its next meeting. The JWG noted that this document would be available as a late submission for its work during the eleventh sessions of the subsidiary bodies;

(d) An informal exchange of views and information will be organized early in October 1999 by the co-Chairs of the JWG, with the assistance of the secretariat, based on the agenda in annex II of this report and guided by the views expressed by the Parties during the tenth sessions of the subsidiary bodies. It will include an exchange of information related to experience in other conventions to help Parties obtain a better understanding of a compliance system needed under the Kyoto Protocol, bearing in mind that this event will not be a forum for negotiation. The co-Chairs will make an informal factual report, with no recommendation to the JWG at the eleventh sessions of the subsidiary bodies. This event will be open to Parties and observers under rules 6 and 7 of the draft rules of procedure, as applied (see FCCC/CP/1996/2), taking into account the importance of participation of developing country Parties. Such participation by developing country Parties should be facilitated to the extent possible. The JWG urged all Parties in a position to do so to make voluntary contributions for this purpose.

(e) The JWG agreed that a workshop on matters related to a compliance system under the Kyoto Protocol is needed after COP 5 between the eleventh and twelfth sessions of the subsidiary bodies. The JWG noted that the secretariat would assess its capacity to carry out the activities requested in the light of the programme budget for 2000-2001 recommended by the SBI for approval by COP 5 and of the overall calendar of meetings and workshops.

## IV. REPORT ON PROGRESS TO THE SUBSIDIARY BODY FOR SCIENTIFIC AND TECHNOLOGICAL ADVICE AND THE SUBSIDIARY BODY FOR IMPLEMENTATION

(Agenda item 4)

13. The JWG considered and adopted the draft report on its work. The JWG requested the co-Chairs, with the assistance of the secretariat to complete the report, taking into account the discussions of the JWG, as well as the need for editorial changes.

## V. OTHER MATTERS

(Agenda item 5)

14. No other matters were raised by Parties under this agenda item.

15. The co-Chairs, after thanking all participants for their constructive cooperation, declared the meetings of the JWG closed.

Annex I to the report of the joint working group on compliance

# QUESTIONS RELATED TO A COMPLIANCE SYSTEM UNDER THE KYOTO PROTOCOL

## General issues

1. What should the objectives and nature of a compliance system be?

2. What should the principles that guide the development of the procedures and mechanisms to implement Article 18 of the Kyoto Protocol be?

3. What types of issue should be addressed under this procedure?

4. How might this procedure differentiate between the timing and character of various commitments under the Protocol?

5. Should procedures and mechanisms "entailing binding consequences" be adopted concerning non-compliance with respect to:

(a) "Guidelines" for the national systems for estimating emissions of greenhouse gases and removals by sinks, which may be established pursuant to Article 5.1; or "guidelines" for the implementation of Article 6, as provided for in Article 6.2; or "guidelines" for the reporting of certain information in national communications, as provided for in Article 7.4?

(b) "Modalities, rules and guidelines" adopted pursuant to Article 3.4, concerning how, and which, additional categories of sinks may be added to those contain [illegible] in Article 3.3?

(c) "Modalities and procedures" concerning the clean development mechanism, which may be adopted pursuant to Article 12.7?

(d) "Principles, modalities, rules and guidelines" concerning emissions trading, which may be adopted pursuant to Article 17?

6. Is one integrated procedure sufficient or is more than one procedure needed? Is a separate procedure needed (or sub-procedure within a general procedure) for dealing with compliance elements of the mechanisms in Articles 6, 12 and 17?

7. What should the relationship be between this procedure and (a) the expert review process under Article 8 of the Protocol; (b) any procedures and institution established under Article 13 of the Convention; (c) the procedures under Article 19 of the Protocol?

8. The expert review teams contemplated in Article 8 of the Kyoto Protocol review information submitted under Article 7 by each Party included in Annex I. In this regard:

(a) Should we integrate the requirements of Article 8.3 and 8.5 with the procedures that may be developed to implement Articles 16, 18 and 19? If so, how?

(b) Although the expert review teams may provide information relevant to whether an Annex I Party is at risk of non-compliance or may not be in compliance, do the teams have authority to make any determination (initial, provisional, or otherwise) that such Party is in non-compliance?

(c) If the report of the review team (issued after the end of a commitment period of an Annex I Party) does not indicate non-compliance by the Annex I Party with its emissions limitation and reduction commitment under Article 3 of the Protocol, does that preclude any Party from being able to raise an issue of non-compliance?

(d) Should a review team possess authority to initiate, by its own determination, a procedure adopted pursuant to Article 18 that could result in binding consequences to a Party?

(e) Should a review team possess authority to initiate, by its own determination, a procedure that may be developed to implement Article 16?

**Institutional issues**

9. Who should be able to initiate a procedure for determining and addressing non-compliance with the Protocol?

10. From what sources may such an institutional arrangement seek, receive or consider information?

11. Should such an institutional arrangement be ad hoc or standing in nature?

12. If it is a standing body, how frequently should it be convened?

13. What should the size and composition of such an institutional arrangement be?

14. What expertise should be required of its members and in what capacity should they serve?

15. What rules of procedure should govern its operations? How could these best ensure due process, and the transparency of its operation?

**Issues related to consequences of non-compliance**

16. What role should the Protocol's other institutions play in the determination of compliance: (a) the secretariat; (b) the subsidiary bodies; (c) the operating entity of the financial mechanism; (d) the executive board of the clean development mechanism; (e) the COP/MOP?

17. What types of non-compliance should be associated with specific consequences in advance?

18. Should the idea of "automatic" penalties be used? If so, in what cases?

19. Should financial penalties be used? If so, in what cases? Elaborate, including a description of how and for what purposes the proceeds of financial penalties should be used.

20. What role should this procedure or institutional arrangement have in approving or reviewing the operation of any "automatic" non-compliance responses provided by the Protocol or agreed by the COP/MOP?

21. What should the outcome of the compliance system be?

22. What procedures and mechanisms under Article 18 entail binding consequences? What are the implications of "binding consequences" *vis-à-vis* other consequences of non-compliance, and the amendment of the Protocol?

**Other issues**

23. Any other issues related to a compliance system.

Annex II to the report of the joint working group on compliance

**Agenda for an informal exchange of views and information**

1. Opening of the event.

2. Exchange of views and information related to relevant experience in other conventions.

3. Informal exchange of views on compliance.

4. Other matters.

5. Closing of the event.

Annex III

## LIST OF DOCUMENTS BEFORE THE SUBSIDIARY BODY FOR IMPLEMENTATION AT ITS TENTH SESSION

**Documents prepared for the session**

| | |
|---|---|
| FCCC/SBI/1999/1 | Provisional agenda and annotations. Note by the Executive Secretary |
| FCCC/SBI/1999/2 | Arrangements for intergovernmental meetings. Note by the Executive Secretary |
| FCCC/SBI/1999/3 | Administrative and financial matters. Note by the Executive Secretary |
| FCCC/SBI/1999/4 | Proposed programme budget of the Convention for the biennium 2000-2001. Note by the Executive Secretary |
| FCCC/SBI/1999/4/Add.1 | Proposed programme budget of the Convention for the biennium 2000-2001. Addendum. Detailed subprogramme activities and resource requirements. Note by the Executive Secretary |
| FCCC/SBI/1999/5 | Annual inventories of national greenhouse gas data for 1996. Report on national greenhouse gas emissions inventory submissions from Annex I Parties for 1990 to1996 |
| FCCC/SBI/1999/5/Add.1 | Annual inventories of national greenhouse gas data for 1996. Report on national greenhouse gas emissions inventory submissions from Annex I Parties for 1990 to 1996. Addendum. Tables of inventories of anthropogenic emissions and removals of greenhouse gases for 1990 to1996 and projections |
| FCCC/SBI/1999/6 | Future review process, including that under Articles 7 and 8 of the Kyoto Protocol |
| FCCC/SBI/1999/7 | Administrative and financial matters. Institutional linkage of the Convention secretariat to the United Nations. Note by the Executive Secretary |

| | |
|---|---|
| FCCC/SBI/1999/INF.1 | Information on relevant actions by the Global Environment Facility |
| FCCC/SBI/1999/INF.2 | Progress report on the in-depth reviews of second national communications from Parties included in Annex I to the Convention |
| FCCC/SBI/1999/INF.3 | Report on activities to facilitate the provision of financial and technical support and information on communications from Parties not included in Annex I to the Convention |
| FCCC/SBI/1999/INF.4 | List of projects submitted by Parties not included in Annex I to the Convention in accordance with Article 12.4 of the Convention |
| FCCC/SBI/1999/INF.5 | Administrative and financial matters. Status report on receipt of contributions from Parties |
| FCCC/SBI/1999/MISC.1 | Views of Parties on consideration and timing of second national communications from Parties not included in Annex I to the Convention |
| FCCC/SBI/1999/MISC.2 | Views of Parties with regard to the review of the Global Environment Facility enabling activities |
| FCCC/SBI/1999/MISC.3 | National Communications from Parties not included in Annex I to the Convention |
| FCCC/SBI/1999/L.1 | Draft report of the Subsidiary Body for Implementation on its tenth session |
| FCCC/SBI/1999/L.2 | National communications from Parties included in Annex I. Matters referred by the SBSTA |
| FCCC/SBI/1999/L.3 | National communications from Parties included in Annex I. Matters referred by the SBSTA |
| FCCC/SBI/1999/L.4 | Arrangements for intergovernmental meetings. Draft decision proposed by the Group of 77 and China |
| FCCC/SBI/1999/L.5 | National communications from Parties included in Annex I. Annual inventories of national greenhouse gas data for 1996 |

| | |
|---|---|
| FCCC/SBI/1999/L.6 | National communications from Parties included in Annex I. Matters referred by the SBSTA |
| FCCC/SBI/1999/L.7 | National communications from Parties included in Annex I. Future review process, including that under Articles 7 and 8 of the Kyoto Protocol |
| FCCC/SBI/1999/CRP.1 | Programme budget for the biennium 2000-2001. Possible activities to be covered through supplementary funding |
| FCCC/SBI/1999/CRP.2 | Institutional linkage of the Convention secretariat to the United Nations |
| FCCC/SBI/1999/CRP.3 | Arrangements for intergovernmental meetings |
| FCCC/SBI/1999/CRP.4 | National communications from Parties not included in Annex I. Input from Parties to the Global Environment Facility review of enabling activities |
| FCCC/SBI/1999/CRP.5 | National communications from Parties not included in Annex I. Provision of financial and technical support |
| FCCC/SBI/1999/CRP.6 | National communications from Parties not included in Annex I. Matters related to the consideration of non-Annex I Party communications. Timing of second national communications |
| FCCC/SBI/1999/CRP.7 | Administrative and financial matters. Programme budget for the biennium 2000-2001. Income and budget performance in the biennium 1998-1999. Arrangements for administrative support to the Convention |
| FCCC/SB/1999/1 | Report on clarifications, additions and amendments to the revised guidelines for the preparation of national communications by Parties included in Annex I to the Convention (including part I of the reporting guidelines on inventories) |
| FCCC/SB/1999/1/Add.1 | Report on clarifications, additions and amendments to the revised guidelines for the preparation of national communications by Parties included in Annex I to the Convention (including part I of the reporting guidelines on inventories). Addendum. Common reporting format |

| | |
|---|---|
| FCCC/SB/1999/1/Add.2 | Report on clarifications, additions and amendments to the revised guidelines for the preparation of national communications by Parties included in Annex I to the Convention (including part II of the reporting guidelines on other issues). Addendum. Policies and measures and projections, financial resources and transfer of technology, and other issues |
| FCCC/SB/1999/2 and Corr. 1 | Work programme on methodological issues related to Articles 5, 7 and 8 of the Kyoto Protocol |
| FCCC/SB/1999/4 | Mechanisms pursuant to Articles 6, 12 and 17 of the Kyoto Protocol. Plan for facilitating capacity-building under decision 7/CP.4 |
| FCCC/SB/1999/5 | Mechanisms pursuant to Articles 6, 12 and 17 of the Kyoto Protocol. Synthesis of proposals by Parties on principles, modalities and guidelines for the mechanisms under Articles 6, 12 and 17 of the Kyoto Protocol. Note by the Chairmen |
| FCCC/SB/1999/INF.1 | Activities implemented jointly under the pilot phase. Update on activities implemented jointly |
| FCCC/SB/1999/INF.2 and Add. 1-3 | Mechanisms pursuant to Articles 6, 12 and 17 of the Kyoto Protocol |
| FCCC/SB/1999/MISC.1 and Add.1 | Views on the review process of activities implemented jointly under the pilot phase and information on experience gained and lessons learned, including on the uniform reporting format. Compilation of submissions from Parties |
| FCCC/SB/1999/MISC.2 | Clarifications, additions and/or amendments to the revised guidelines for the preparation of national communications from Parties included in Annex I to the Convention, the scope of the third national communications, and the scope and modalities of the review process for the third national communications, including in-depth reviews, in the context of the Kyoto Protocol. Submissions from Parties |
| FCCC/SB/1999/MISC.3 and Add.1, Add.2 and Corr.1 and Add.3-6 | Principles, modalities, rules and guidelines for the mechanisms under Articles 6, 12 and 17 of the Kyoto Protocol. Submissions from Parties |

| | |
|---|---|
| FCCC/SB/1999/MISC.4 and Add.1-3 | Procedures and mechanisms relating to compliance under the Kyoto Protocol. Submissions from Parties |
| FCCC/SB/1999/MISC.5 and Add.1 | Comments from Parties on methodological issues related to possible clarifications, additions and amendments to the inventory section of the revised guidelines for the preparation of national communications by Annex I Parties |
| FCCC/SB/1999/MISC.6 | Views on issues to be discussed in the expert workshop on the implementation of Article 4.8 and 4.9 of the Convention (decision 3/CP.3 and Articles 2.3 and 3.14 of the Kyoto Protocol). Submissions from Parties |
| FCCC/SB/1999/MISC.8 | Mechanisms pursuant to Articles 6, 12 and 17 of the Kyoto Protocol. National communications from Parties not included in Annex I to the Convention. Submission by a Party |
| FCCC/SB/1999/L.1 | Activities implemented jointly under the pilot phase |
| FCCC/SB/1999/CRP.1 and Rev.1 | Provisional agenda for the joint working group on procedures and mechanisms relating to compliance under the Kyoto Protocol |
| FCCC/SB/1999/CRP.2 | Joint working group on compliance. Work programme on procedures and mechanisms relating to compliance under the Kyoto Protocol |
| FCCC/SB/1999/CRP.3 and Rev.1 | Report of the joint working group on compliance on its work during the tenth sessions of the subsidiary bodies |
| FCCC/SB/1999/CRP.4 | Mechanisms pursuant to Articles 6, 12 and 17 of the Kyoto Protocol |

**Other documents for the session**

| | |
|---|---|
| FCCC/CP/1998/16 | Report of the Conference of the Parties on its fourth session, held at Buenos Aires from 2 to14 November 1998. Part One: Proceedings |
| FCCC/CP/1998/16/Add.1 | Report of the Conference of the Parties on its fourth session, held at Buenos Aires from 2 to 14 November 1998. Addendum. Part Two: Action taken by the Conference of the Parties at its fourth session |

| | |
|---|---|
| FCCC/TP/1999/2 | Technical paper: Report on data comparisons |

**Documents available for reference only**

| | |
|---|---|
| FCCC/CP/1998/INF.9 | Review of information communicated under Article 12. National communications from Parties included in Annex I to the Convention. Summary compilation of annual greenhouse gas emissions inventory data from Annex I Parties |
| FCCC/CP/1997/7/Add.1 | Report of the Conference of the Parties on its third session, held at Kyoto from 1 to 11 December 1997. Addendum. Part Two: Action taken by the Conference of the Parties at its third session |
| FCCC/CP/1996/2 | Adoption of the rules of procedure |
| FCCC/CP/1996/15/Add.1 | Report of the Conference of the Parties on its second session, held at Geneva from 8 to 19 July 1996. Addendum. Part Two: Action taken by the Conference of the Parties at its second session |
| FCCC/CP/1995/7/Add.1 | Report of the Conference of the Parties on its first session, held at Berlin from 28 March to 7 April 1995. Addendum. Part Two: Action taken by the Conference of the Parties at its first session |
| FCCC/SBI/1998/6/and Corr.1 | Report of the Subsidiary Body for Implementation on its eighth session, Bonn, 2-12 June 1998 |
| FCCC/SBI/1998/7 | Report of the Subsidiary Body for Implementation on its ninth session, Buenos Aires, 3-10 November 1998 |

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